



PATENT  
Atty. Dkt. No. PAT 004 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:  
Wen-Yen Hwang  
Serial No.: 09/820,072  
Confirmation No.: 4037  
Filed: March 28, 2001  
For: Alternative Substrates for  
Epitaxial Growth

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Group Art Unit: 2815  
Examiner: Joseph H. Nguyen  
Atty. Docket No.: PAT004US

#8 changes  
Dwg's + 1 Dwg's  
Formal substitute  
D Small's logo  
1-15-03

Official Draftsman  
Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL  
DATE OF DEPOSIT: December 31, 2002**

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N. STEPHAN KINSELLA

**TRANSMITTAL OF CORRECTED DRAWING(S)**

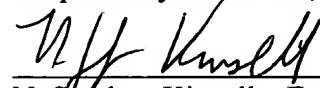
Dear Sir:

In connection with the Amendment filed herewith, the Applicant herewith submits 5 sheet(s) of corrected drawing for Figs. 1-5 (showing changes in red ink), pursuant to MPEP §608.02(R) to propose amendment of the drawings. Please amend Figs. 1-5 by making the changes indicated on the attached amended Figs. 1-5. New formal substitute Figs. 1-5 incorporating these changes are also submitted herewith denoted "SUBSTITUTE", as well as "clean" new formal substitute Figs. 1-5.

Date: 12-31-2002

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Respectfully submitted,

  
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2815



Please type a plus sign (+) inside this box → ☐

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|--|-----------------------------|-------------------------------|----------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/820,072                    |          |
|  | <b>Filing Date</b>          | Mar 28, 2001                  |          |
|  | <b>First Named Inventor</b> | Hwang, Wen-Yen                |          |
|  | <b>Group Art Unit</b>       | 2815                          |          |
|  | <b>Examiner Name</b>        | Joseph H. Nguyen              |          |
| <b>Total Number of Pages in This Submission</b>  |                             | <b>Attorney Docket Number</b> | PAT004US |

| ENCLOSURES (check all that apply)   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input checked="" type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><i>Transmittal of Corrected Drawings</i> |
| <b>Remarks</b>  |   |   |

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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                       |
|--|-----------------------|
| Firm or Individual name                    | N. STEPHAN KINSELLA   |
| Signature                                  | <i>N. S. Kinsella</i> |
| Date                                       | December 31, 2002     |

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| Signature   | <i>N. S. Kinsella</i> | Date | December 31, 2002 |

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